SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

INERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Williams Thomas Charles					2. Issuer Name and Ticker or Trading Symbol <u>GROVE, INC.</u> [GRVI]									ionship of Reporting Person all applicable) Director		n(s) to Issuer 10% Owner		
(Last)	(First)	۸)		3. Date of Earliest Transaction (Month/Day/Year) 07/21/2021									Officer (give title below)		Other (s below)	pecify		
1710 WHITNEY MESA DRIVE C/O GROVE INC.					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	 Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(Street) HENDERSO	N NV	8	9014											Form file	d by More	than C	ne Reportin	g Person
(City)	(State) (Z	lip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date				2. Transad Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispo Code (Instr.		4. Securi Dispose		quired (A) (Instr. 3,		Securities Beneficiall Following	Amount of ecurities eneficially Owned ollowing Reported ransaction(s)		: Direct (D) lirect (l) . 4)	7. Nature of Indirect Beneficial Ownership
								Code	v	Amount	Amount (A) or (D)		Price	(Instr. 3 and 4)				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	Code	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			e and Am ities Und ative Secu 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	011(5)		
Option Grant	(1)	07/21/2021 ⁽¹⁾		A		50,000		(1)		(1)		nmon ock	50,000	(1)	50,00	0	D	

Explanation of Responses:

1. 50,000 options are vested and shall be exercisable for a price of \$4.18 per option share for 2 years from July 21, 2021 (the "Grant Date"); such options shall vest on a monthly basis over a one year period and be exercisable for a price of \$4.18 per option share for two years from the Grant Date.

<u>/s/ Thomas Charles Williams</u> ** Signature of Reporting Person 08/30/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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